

)DOO
,QWHUQVKLS,17 (QUROOPHQW &KHF

&RPSOHWHG 6WXGHQW /HDUQLQJ \$JUHHPHQW DQG DOO UHTXL
&DUHHU &HQWHU F&HHLVRIQD LHCSDM VGD\ 2FWREHU 7K
SURFHVV,17 HQURO_OPHQW XSRQ UHFHLSW RIDOO UHTXLUHG C

7KHUH ZLOO QRW EH DQ\ ODWH 6WXGHQW /HDUQLQJ

&RPSOHWH,17 3DFNHW,QFOXGHV

FX>,QWHUQDWLPp &RPSQD&G` H•Q't" €p0 ð €"xiàRQ

FX/LVW,17 6WDUW DQG (QG'DWHV 3DJH

FX&RPSOHWH,QWHUQVKLS 6LWH,QIRUPDWLRQ 3DJH

FX&RPSOHWH/HDUQLQJ 2EMHFWLYHV 6WUDWHJLHV IRU &RPS

FX 6LJQH 6WXGHQW /HDUQLQJ \$JUHHPHQW 3DJH

FX,QWHUQVKLS 6XSHUYLVRU 6LJQDWXUH 3DJH

FX 6LJQH 6WXGHQW :DLYHURI /LDELOLW\ DQG \$VVXPSWLRQR

FX,QWHUQVKLS -RE 'HVFULSWLRQRU 3RVWLQJ

FX,QWHUQVKLS 2IIHU /HWWHU

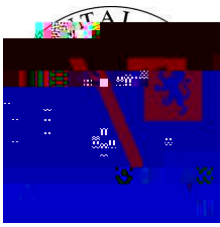
FX>6XPPHU 2QO\@ 2IILFH RI WKH 5HJLVWUDU ² 6XPPHU 5HJLVW

6XEPLWWLQJ)RUPV (OHFWURQLFDOO\

6WXGHQWV UHPDLQ UHVSQRVLEOH IRU FROOHFWLQJ WKH QHFHVVDU\ VLJQ
SURFHVV DUHTXHVV ZLWKRXW WKH QHFHVVDU\ DSSURYDO V 3OHDVH QR

6WXGHQWV DUHH [SHFWHG WR FRPSOHWH WKH IRUP DQG VHQG LW WR WKHL
GRFXPHQW DQG UHWXUQ WKH IRUP DV DQ DWWDFKPHQW WR WKH VWXGHQW

2QFH WKH,17 6WXGHQW /HDUQLQJ \$JUHHPHQW LV FRPSOHWH LQFOXG
6XPPHU 5HJLVWUDWLRQ)RUP LIDSSOLFDEOH VHQG DOO GRFXPHQWV LQ



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2FFLGHQWDO &ROOHJH↑V &DUHHU 6HUYLEFHV RIILFH PXVW DSSURYH DOO LQWHU
SDUWFLSDWHLQ DILQDO UHIOHFWLYH DQG HYDOXDWLYH FRPSRQHQW ZLWK &D
KDYH VRSKRPRUH MXQLRU RU VHQLRU VWDWXV 6WXGHQWV FDQ RQO\ EH UHJLV



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& 678'(17/(\$51,1*2%-307,9V6) SULQW DQG RU DWDFK UHVSQRVHV

7KHLQWHUQVKLS VXSHUYLVRU DQG VWXGHQW VKRXOG PXWXDOOW DVUHHFRSPGQKHWV
RXU,QWHUQVKLS 6XSHUYLVRU DZQLQJ F R P S O H W L Q H W R X W O H G W G R Q U H F D Q V H U Y H D V D E D

/H DUQLQJ 2EMHFWLYHV /2 3OHDVH DUWLFXODWHEHORZ ZKDW RXKRSHWR OHDUQLQJ
/H DUQLQJ 2EMHFWLYHV SURYLGHLQIRUPDWLRQ WR WKHLQWHUQVKLS WRSOHYUQRUQWRG
SHUIRUPLQJ WKHWDVN 6XSHUYLVRU DZQLQJ F R P S O H W L Q H W R X W O H G W G R Q U H F D Q V H U Y H D V D E D

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+RZGRHV WKHLQWHUQVKLS UHODWHWR RXU DFDGHPLF SURJUDPRI VWXG\ DQG SURIHV

6WUDWHJLHV IRU &RPSOHWRZQLDIOZRNKFFDWLYH R X V 6 & R X U O H D U Q L Q J R E M H F W L Y H V " , G H Q W
GHSDUWPHQWV WR PHHW ZLWKRUVKDGRZHWF SOHDVH DWDFKIRUPDOLQWHUQVKLS

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,DJUHHWRFRPSOHWHDOOZRUNDVVLJQPHQWVDQGOHDUQLQJREMHWLYHV SURPSWO
UHOHYDQW RUJDQLJDWLRQDOSROLFLHV SURFHGXUHV D Q G O X Q H W W R Q Q F D L G W R K D S S D U R S
P\LQWHUQVKLS VXSHUYLVRU LI ,I Q H F L G H G W R F X U R S Z L W K G O W E U Q R K W S H F R X U V H W K U R X J H
,ZLOOFRPSOHWHUHTXLUHGIRUPVDQGVXEPLWQHfHVVDU\GRFXPHQWV LQFOXGLQJD
UHFRUGH &UHGLWFDQQRWEHLVVXHG XQWLO \$//UHTXLUHGGRFXPHQWDWLRQLV VXE

6WXGHQW 6LJQDWXUH

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'DWH

3OHDVH QRWLI\ WKH &DUHHU 6HUylfHV RIILFH LI DQ\ GLIILFXOWLHV DUH H[SHULHQFHG Z
RU SHUIRUPDQFH LQ WKHLQWHUQVKLS

,QWHUQVKLS 6XSHUYLVRU
3OHDVH QRWHWKDW WKHIROORZLQJLV UHTXLUHG GRVWRDQGDQVSDQLRQV DQGLQWHUQVKLS
D &RQIHU UHJXODUO\ ZLWK WKHLQWHUQDQG WKHLUIDFXOW\ VXSHUYLVRU DQG RU
E 7UDLQ WKHLQWHUQDVUHTXLUHG DQG RULHQW WKHP WR WKHRUJDQLJDWLRQ\TVS
F 0DNHQHFHVVDU\HTXLSPHQW VXSSOLHV DQG VSDFH DYDLODEOH IRU WKHLQWHUQ
G \$UUDQJHDZHHNO\ZRUNVFKHG XOHWKDWFDQDFFRPPRGDWHWKHLQWHUQ\TVDFD
H 3URYLGHWKH &DUHHU 6HUylfHV RIILFH ZLWKDILQDOZULWVHQ SHUIRUPDQFH HY
WRWKH)DFXOW\ 6XSHUYLVRU DZQLQJ F R P S O H W L Q H W R X W O H G W G R Q U H F D Q V H U Y H D V D E D

&UHGLWFDQQRWEHLVVXHG XQWLO \$//SDSHUZRUNDQG UHTXLUHG HY

,KDYHGLVFXVVHG WKHLQWHUQVKLS ZLWK WKHLQWHUQDQG KDYHQHJRWLDWHG DQG DV
WKLVDJUHHPHQW ,IXUWKHUDJUHHWR EHDYDLODEOH IRUFRXQVHO DQG DGYLFHIRUWH

,QWHUQVKLS 6XSHUYLVRU 6LJQDWXUH 3OHDVH 3ULQW

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, DJUHH ZLWK WKH VWLSXODWLRQ RI WKH DJUHHPHQW , IXUWKHU DJUHH WREH DYDL
DSSURSULDWH WR YLVLW WKH VLWH DQG RU FRQYHUVH ZLWK WKH ,QWHUQVKLS VXS

&DUHHU 6HUYLEFHV 6LJQDWXUH

3OHDVH 3ULQW

'DWH

&XPXODWLYH *3\$

RU EHWWHU LV UHTXLUHG DW WKH WLPH RIDSSOLFDM

/DVW GD\ WR VXEPLW IRUP WR +&& LV :HGQHVG D\ 2FWREHU

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7KLV LV D UHOHDVH 3OHDVH UHDLW FDUHIXOO\

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DP D VWXGHQW DW 2FFLGHQWDO &ROOHJH
VHPHVWHU DW WKHIROORZLQJ

GXUL DOO 6SULQJ 6XPPHU

,QWHUQVKLS 6LWH

&LW\ 6WDWH SOHDVHLQGLFDWH

2FFLGHQWDO &ROOHJH GRHV QRW FRQWURO WKHZD\LQ ZKLFK WKHLQWHUQVKL
FUHGLW IRU WKLV LQWHUQVKLS WKH &ROOHJH DIILUPV WKDW WR WKHEHVW RIL
VWXGHQWV LQ DOLEHUDO DUWV SURJUDP RIVWXG\DQG ZRUWK\RI 2FFLGHQWDO

6WXGHQW :DLYHU)RUP 2 3DJH

6WXGHQW 1DPH _____

, DFNQRZOHGJH WKDW DOO ULVNV FDQQRW EH SUHYHQWHG DQG VRPH ULVNV FRX
LQFOXGLQJ GHDWK , DJUHH WR DVVXPH WKRVH ULVNV ZKHWKHU IRUHVHHQ RU X
H[SUHVVO\ DVVXPH WKDW WKH UHVSQRVLELOLW\ RI HGXFDWLQJ P\ VHOI WR WKH
ULVNV ERWK NQRZQ DQG XQNQRZQ

, RQ EHKDOI RIP\ KHLUV DVVLJQV UHSUHVHQWDWLYHV H[HFXWRUV RU DGPL
RIILFHUV ERDUG PHPEHUV HPSOR\HHV DGYLVRUV DJHQWV RU UHSUHVHQWDW
RU LOOQHVV LQFOXGLQJ EXW QRW OLPLWHG WR GHDWK WKDW PD\ UHVXOW IU
GHSDUWXUH RU IROORZLQJ P\ UHWXUQ

6&23(2) 5((\$6(, DP VLJQLQJ WKLW /LDELOLW\ 5HOHDVH DQG &ODLP :DLYHU ZLW

'\$ JHQHUDO UHOHDVH GRHV QRW H[WHQG WR FODLPV ZKLFK WKH FUHGLWRU
H[HFXWLQJ WKH UHOHDVH ZKLFK LI NQRZQE\ KLP PXVW KDYH PDWHULDOO\

, ZDLYH WKH SURYLVLRQV RI WKLW VWDWXWH DQG DQ\ VLPLODU SURYLVLRQ RI W

, KDYH UH DG WKH IRUHJRLQJ DQG VLJQ WKLW DV DQ DFW RI P\ RZQ IUHH ZLOO ZLW

6LJQDWXUH

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/H DUQLQJ 2EMHFWLYH 'HVFULSWLRQ

/H DUQLQJ 2EMHFWLYHV KHOS \RX IRFXV R Q E Z H B R W H W D B W H R W R J Q D V H Q J Q R P L S X 7 U K
LQGLUHFWO \UHODWHG WR \RXU FRXUVH R I V W X G \ /H DUQLQJ 2EMHFWLYHV SURY
DQG VNLOOV WRV O H D U Q L Q D G G L W L R Q W R S H U I R U P L Q J W K H W D V N V U H T X L U H G
DFTXLUH ZKDW LQWHOOFWXDO R U I X Q F W L R Q D O V N L O O V \ R X K R S H W R G H Y H O R
SHUVRQDO YDOXH V L S R & R I G N H W Z H V S O R D H , Q W H U Q V K L S 6 X S H U Y L V R U W K D W \ R X U
V X F F H V V I X O O \ D F K L H Y H W K H P E H I R U H W K H H Q G R I \ R X U L Q W H U Q V K L S D V V L J Q P H

:ULWLQJD /H DUQLQJ 2EMHFWLYH

%H O R Z D U H N H \ D U H D V W R I R F X V R Q Z K H Q Z U L W L Q J \ R X U / H D U Q L Q J 2 E M H F W L Y H V

\$.QRZOHGJH WR EHDFTXLUHG

5HJDUGLQJRQH V DFDGHPLF PDMRU L H W K H R U L H V S U D F W L F H V
5HODWHG WR DSDUWLFXODU EXVLQHVV LQG XVWU \ R U R S H U D W L R Q D O
5HOHYDQW WR FDUHGU GHYHORS PHQW

% 6NLOOV WR EHGHYHORS HG ERWKLQWHOOFWXDO DQG IXQFWLRQDO

\$ Q D O \ W L F D O V N L O O V I R U R Q H V D F D G H P L F P D M R U
2SHUDWLRQDO VNLOOV WR SHUIRUP IXQFWLRQV UHTXLUHG LQ WKH LQW
, QWHUSHUVRQDO VNLOOV WR LQWHUDFW VXFFHVVIXOO \ R Q W K H M R E

& 3UREOHPV WR EHVROYHG

\$ S S O L F D W L R Q R I R Q H V D F D G H P L F P D M R U
'HWDLOV WR XQGHUVWDQG DERXW RQH V ZRUN DVVLJQPHQW
4XHVWLRQV WR UHVROYH DERXW RQH V SHUVRQDO LQWHUHV V

' 9DOXH WR EHFODULILHG

, QWHUHVW LQ WKH QRQSURILW SULDYDWH JRYHUQPHQW RU QRQ JRYHU
7HDP RULQGLYLGXDO EXVLQHVV SURIHVVLRQDO EXVLQHVV FDXDO R
0LVVLRQRU UHVXOWV GULYHQ ZRUN
3DVVLRQIRU VPDOO PLG VLJHG RU ODUJH ILUPV

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2EMHFWLYHV 6&2 IRU VRPH RQH ZKRVH JRDO LV
GHS DUWPHQWV RI :DUQHU %URWKHUV

/2 7R OHDUQ KRZ WR ZULWH PDUNHWLQJ PDWHU
/2 *DLQ H[SRVXUH WR WKH VWHSV WR SODQQLQ
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6&2 , SODQ WR FUDIW SURPRWLRQDO OLWHUDW
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